

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

In re Application of: DAVID HUNTINGTON

Attention: Application Branch

Serial No.: 10/030,458

Date: August 19, 2002

Filed: January 4, 2002

Attorney Docket No.: AVX-220

Confirmation No.: 8433

Art Unit: (Unknown)

Title: SOLID STATE CAPACITORS AND METHODS OF MANUFACTURING THEM

Our Account No.: 04-1403



Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.
- ☐ This is a Rule 47 filing per attached Petition.
- ☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
- ☐ Specification originally filed in non-English language; hence verified translation attached of:
- ☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.
- ☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11
- ☒ Attached is an assignment to AVX Limited
- ☒ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s). PCT/GB00/02630; 9916047.5 and 9926894.8, filed in PCT and GB (Great Britain) on 07 July 2000; 08 July 1999 and 12 November 1999, respectively. Certified copy (copies) ☐ attached; ☐ already filed on in U.S. Application, Serial No. , filed
- ☐ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: Verified Statement(s) establishing "small entity."
- ☐ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.
- ☒ Attached: Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the DO/EO/US.
- ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee \$

Total Effective Claims 7 - 20 = x \$18.00 = \$

Independent Claims 2 - 3 = x \$84.00 = \$

If any proper multiple dependent claim (ignore improper) is present, add \$280.00 \$

Filing Fee \$ -0-

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of 05/27/02, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440). \$ 920.00

If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130) \$

Subtotal \$ 920.00

If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract \$

Total = \$ 920.00

If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee (\$130.00) \$

If "assignment" box is X'd, add recording fee (\$40.00) \$ 40.00

If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h). \$

TOTAL FEE ENCLOSED \$ 960.00

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449
Greenville, South Carolina 29602
Phone: 864-271-1592
Facsimile: 864-233-7342

DORITY & MANNING

ATTORNEYS AT LAW, P.A.

By Atty: RICHARD M. MOOSE Reg. No. 31,226

Signature: *Richard M. Moose*

"Express Mail" - Mailing Label Number EV110368490US

Date of Deposit August 19, 2002

I hereby certify that this paper, papers attached hereto, and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

CHRISTINE P. STANFIELD

(Typed or printed name of person mailing paper or fee)

Christine P. Stanfield
(Signature of person mailing paper or fee)



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/030,458	David Huntington	AVX-220

INTERNATIONAL APPLICATION NO.

PCT/GB00/02630

LA. FILING DATE	PRIORITY DATE
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07/07/2000

07/08/1999

D & M DOCKETINGAction Due: Miss. PartsDate Due: 27 May 2002Initials: HU

mm Dority & Manning
P O Box 1449
Greenville, SC 29602-1449

CONFIRMATION NO. 8433

371 FORMALITIES LETTER



OC000000007664849

Date Mailed: 03/27/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

RECEIVED
APR 01 2002

DORITY AND MANNING

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,458	PCT/GB00/02630	AVX-220

FORM PCT/DO/EO/905 (371 Formalities Notice)